## **10.2 Modulation Schemes** 303

Pockels effect or the magneto-optic Faraday effect. Any orthonormal set of unit polarization vectors  $\{\hat{e}_1, \hat{e}_2\}$  on the plane that is normal to the wave propagation direction  $\hat{k}$  can be used to expand the unit polarization vector  $\hat{e}$  on this plane as a linear superposition of two orthogonal polarizations:

$$\hat{e} = c_1 \hat{e}_1 + c_2 \hat{e}_2, \tag{10.9}$$

where  $c_1$  and  $c_2$  are two complex constants subject to the normalization condition of  $c_1 \cdot c_1^* + c_2 \cdot c_2^* = 1$ . On the  $\{\hat{e}_1, \hat{e}_2\}$  basis, the unit polarization vector  $\hat{e}_{\perp}$  that is orthogonal to the unit polarization vector  $\hat{e}$  can be expressed as

$$\hat{e}_{\perp} = c_2^* \hat{e}_1 - c_1^* \hat{e}_2.$$
 (10.10)

It is clear that  $\{\hat{e}, \hat{e}_{\perp}\}$  is also an orthonormal basis because  $\hat{e} \cdot \hat{e}^* = \hat{e}_{\perp} \cdot \hat{e}^*_{\perp} = 1$  and  $\hat{e} \cdot \hat{e}^*_{\perp} = \hat{e}_{\perp} \cdot \hat{e}^* = 0$ . Therefore, the two unit polarization vectors  $\hat{e}_1$  and  $\hat{e}_2$  can be expressed in terms of the  $\{\hat{e}, \hat{e}_{\perp}\}$  basis as

$$\hat{e}_1 = c_1^* \hat{e} + c_2 \hat{e}_\perp, \quad \hat{e}_2 = c_2^* \hat{e} - c_1 \hat{e}_\perp.$$
 (10.11)

As an example, any polarization state on the xy plane can be represented by the unit vector  $\hat{e} = \hat{x} \cos \alpha + \hat{y} e^{i\varphi} \sin \alpha$  given in (1.65), which is the linear superposition of the two orthonormal linear polarization unit vectors  $\hat{x}$  and  $\hat{y}$  with  $c_1 = \cos \alpha$  and  $c_2 = e^{i\varphi} \sin \alpha$ . In this case,  $\hat{e}_1 = \hat{x}$ ,  $\hat{e}_2 = \hat{y}$ , and  $\hat{e}_{\perp} = \hat{x} e^{-i\varphi} \sin \alpha - \hat{y} \cos \alpha$ . As another example, the linear polarization unit vector  $\hat{x}$  can be expressed as  $\hat{e} = \hat{x} = (\hat{e}_+ + \hat{e}_-)/\sqrt{2}$  in terms of the linear superposition of the orthonormal circular polarization unit vectors with  $c_1 = c_2 = 1/\sqrt{2}$ . In this case,  $\hat{e}_1 = \hat{e}_+$ ,  $\hat{e}_2 = \hat{e}_-$ , and  $\hat{e}_{\perp} = i\hat{y} = (\hat{e}_+ - \hat{e}_-)/\sqrt{2}$ .

When the phases of the two orthogonally polarized field components are differentially modulated, the polarization vector of the modulated optical wave becomes a function of time:

$$\hat{e}_{\rm m}(t) = c_1 {\rm e}^{{\rm i}\varphi_1(t)} \hat{e}_1 + c_2 {\rm e}^{{\rm i}\varphi_2(t)} \hat{e}_2 = \left[ c_1 \hat{e}_1 + c_2 {\rm e}^{{\rm i}\Delta\varphi(t)} \hat{e}_2 \right] {\rm e}^{{\rm i}\varphi_1(t)},$$
(10.12)

where

$$\Delta\varphi(t) = \varphi_2(t) - \varphi_1(t) \tag{10.13}$$

is the time-varying phase difference due to differential phase modulation between the  $\hat{e}_1$  and  $\hat{e}_2$  components of the optical field. By substituting  $\hat{e}_1$  and  $\hat{e}_2$  of (10.11) into (10.12), we can express the modulated time-varying unit polarization vector  $\hat{e}_m(t)$  in terms of  $\hat{e}$  and  $\hat{e}_{\perp}$  as

$$\hat{e}_{m}(t) = \left[c_{1}c_{1}^{*}e^{i\varphi_{1}(t)} + c_{2}c_{2}^{*}e^{i\varphi_{2}(t)}\right]\hat{e} + \left[c_{1}c_{2}e^{i\varphi_{1}(t)} - c_{1}c_{2}e^{i\varphi_{2}(t)}\right]\hat{e}_{\perp} = \left[c_{1}c_{1}^{*} + c_{2}c_{2}^{*}e^{i\Delta\varphi_{1}(t)}\right]e^{i\varphi_{1}(t)}\hat{e} + c_{1}c_{2}\left[1 - e^{i\Delta\varphi(t)}\right]e^{i\varphi_{1}(t)}\hat{e}_{\perp}.$$
(10.14)

It is clear from (10.14) that  $\hat{e}_{m}(t) \cdot \hat{e}_{\perp} \neq 0$  and  $\hat{e}_{m}(t) \neq \hat{e}$  when  $c_{1}c_{2} \neq 0$  and  $\Delta \varphi(t) \neq 2m\pi$ , resulting in a polarization change caused by differential phase modulation.

As discussed in Section 1.6, the polarization state of a wave depends only on the phase difference and the magnitude ratio of the two orthogonally polarized field components. Therefore, the polarization state defined by  $\hat{e}_{\rm m}(t)$  is determined by the phase difference  $\Delta \varphi(t)$  and the magnitude ratio  $|c_1/c_2|$  of the  $\hat{e}_1$  and  $\hat{e}_2$  components, and is independent of the common