

Worksheet 9.1 Pierce Electron Gun Design

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This Mathcad 14 worksheet is designed to accompany the author's book "Microwave and RF Vacuum Electronic Power Sources", Cambridge University Press (2018). The section, equation, and figure numbers refer to the corresponding sections, equations, and figures in the book. Data input fields are highlighted in yellow and output fields are highlighted in green.

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This worksheet calculates the dimensions of a Pierce electron gun. The method is essentially that described by Pierce (Theory and Design of Electron Beams) and Gittins (Power Travelling-Wave Tubes). It also computes approximate shapes for the electrodes and the position of the magnetic pole-piece

The data provided is for the design discussed in Section 9.2.5

Basic parameters of the design

Anode voltage	Beam current	Beam radius	Cathode loading	Ratio of magnetic field to Brillouin field
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$$V_a := 2 \cdot \text{kV}$$

$$I_0 := 0.17 \cdot \text{A}$$

$$b := 1.35 \cdot \text{mm}$$

$$J_c := 0.084 \cdot \text{A} \cdot \text{cm}^{-2}$$

$$B_0 B_B := 2.0$$

Parameters of the model

Length of the solution space (mm)

Effective anode voltage / anode voltage

Cathode temperature

$$yy_{\text{end}} := 40$$

$$VF := 0.8$$

$$T_k := 1300 \cdot \text{K}$$



The details of the calculation can be concealed to allow the input data and the results to be on the screen simultaneously.

Define physical constants

Charge / mass ratio of the electron $\eta := 1.759 \cdot 10^{11} \cdot \text{C} \cdot \text{kg}^{-1}$

Rest energy of the electron (eV) $V_R := 511 \cdot \text{kV}$

Microperveance $\mu P := \mu\text{A} \cdot \text{V}^{-1.5}$

Boltzmann's constant $k := 1.38 \cdot 10^{-23} \cdot \text{J} \cdot \text{K}^{-1}$

Elementary charge $q_e := 1.602 \cdot 10^{-19} \cdot \text{C}$

Electrostatic design - Step 1

Calculate the perveance, beam velocity and β_p

Perveance
$$\text{Perv} := \frac{I_0}{V_a^{1.5}}$$

Equation 9.1

Perv = 1.90 · μP

Beam velocity
$$u_0 := c \cdot \left[1 - \frac{1}{\left(1 + \frac{V_a}{V_R} \right)^2} \right]^{0.5}$$

Equation 1.4

$u_0 = 2.645 \times 10^7 \cdot \text{m} \cdot \text{s}^{-1}$

$$\gamma_R := 1 + \frac{V_a}{V_R}$$

Equation 7.2

Plasma frequency
$$\omega_p := \left(\frac{\eta \cdot I_0}{\pi \cdot b^2 \epsilon_0 \cdot u_0} \right)^{0.5}$$

Equation 7.47

$$\beta_p := \frac{\omega_p}{u_0 \cdot \gamma_R^3}$$

$$\beta_p = 176.5 \cdot \text{m}^{-1}$$

Step 2

Calculate the cathode disc radius and the area convergence

Cathode radius
$$r_c := \left(\frac{I_0}{\pi \cdot J_c} \right)^{0.5}$$

$$r_c = 0.316 \cdot \text{in}$$

Area convergence
$$A_{\text{conv}} := \left(\frac{r_c}{b} \right)^2$$

$$A_{\text{conv}} = 35.3$$

Step 3

Define α for a spherical space-charge limited diode and θ_0 as functions of R_c/R

$$\gamma(R_c/R) := \ln \left(\frac{1}{R_c/R} \right) \quad \text{Equation 5.83}$$

$$\alpha(R_c/R) := \gamma(R_c/R) - 0.3 \cdot \gamma(R_c/R)^2 + 0.075 \cdot \gamma(R_c/R)^3 - 0.14318 \cdot \gamma(R_c/R)^4 + 0.00216 \cdot \gamma(R_c/R)^5 - 0.0002679 \cdot \gamma(R_c/R)^6 \quad \text{Equation 5.85}$$

$$\theta_0(R_c/R) := \arccos \left(1 - \frac{9 \cdot \alpha(R_c/R)^2}{8 \cdot \pi \cdot \epsilon_0 \cdot \sqrt{2 \cdot \eta}} \cdot \text{Perv} \right) \quad \text{Equation 9.4}$$

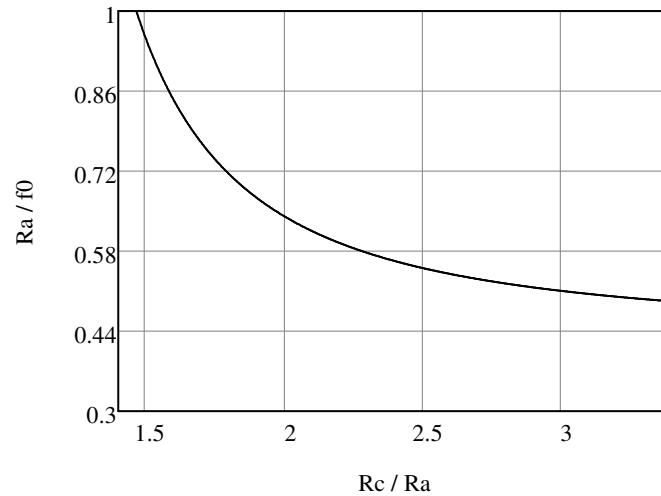
Note:

Logically Perv in this equation should be divided by $VF^{1.5}$ because the potential at R_a is taken to be V_e . This change does not make a big difference to the results. Values close to those obtained without the correction can be obtained by making a small adjustment to VF .

Step 4 Define R_a/f_0 as a function of R_c/R

$$Ra_{f0}(R_c/R) := \frac{2 \cdot (V_a + V_R)}{3 \cdot (V_a + 2 \cdot V_R)} \cdot \frac{R_c/R}{\alpha(R_c/R)} \cdot \frac{d}{dR_c/R} (\alpha(R_c/R)) \quad \text{Equation 9.8}$$

Correction factor for focal length as a function of R_c/R_a and perveance



Non-relativistic graph agrees with Gittins fig. 5.4 except that he has labelled the y axis wrong

Anode lens correction factor

Effective electron energy $V_e := VF \cdot V_a$

$$\phi(Rc_R) := \text{atan} \left[\frac{V_e + V_R}{V_e \cdot (V_e + 2 \cdot V_R)} \cdot \frac{2V_a}{3} \cdot \frac{2 \cdot (1 - \cos(\theta_0(Rc_R)))}{\sin(\theta_0(Rc_R))} \cdot \left[\frac{Rc_R}{\alpha(Rc_R)} \cdot \frac{d}{dRc_R} (\alpha(Rc_R)) \right] \right]$$

Equations 9.8 and 9.25

Step 5

Calculate the angle of convergence after the beam has passed through the anode lens as a function of R_c/R_a

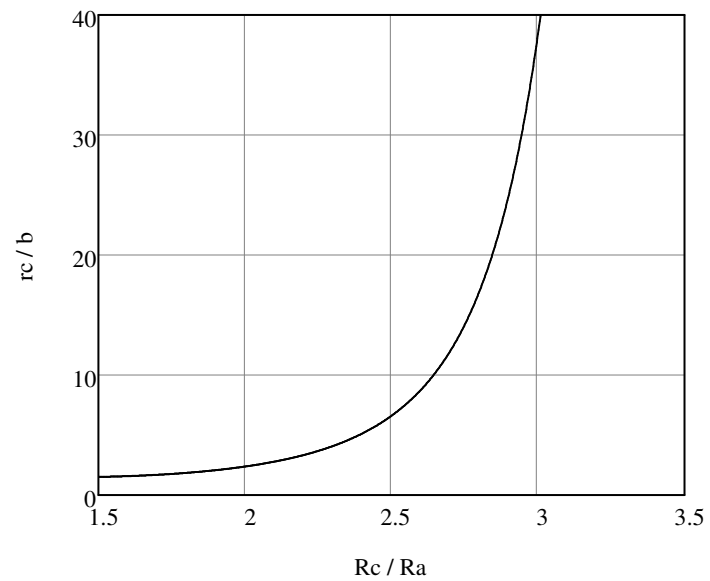
$$\theta_1(Rc_R) := \theta_0(Rc_R) - \phi(Rc_R)$$

Equation 9.27

Step 6

Define r_c/b as a function of R_c/R

$$rc_b(Rc_R) := Rc_R \cdot \exp \left[\left(\frac{\tan(\theta_1(Rc_R))}{\beta_p \cdot b} \right)^2 \right] \quad \boxed{\text{Equation 9.13}}$$



Step 7 Calculate thermal velocity effects (Section 9.2.4)

$$AA(Rc_R) := \int_1^{Rc_R} \frac{1}{(-\alpha(RcR1))^{\frac{2}{3}}} dRcR1 \quad \text{Equation 9.31} \quad x := 1, 1.01..3.8$$

$$BB(ra_b) := \text{erf}(\sqrt{\ln(ra_b)}) \quad \text{Equation 9.32}$$

At the anode

$$\sigma_{b_norm}(Rc_R) := \left[\frac{(-\alpha(Rc_R))^{\frac{2}{3}}}{rc_b(Rc_R)} \cdot AA(Rc_R) + 3 \cdot BB\left(\frac{rc_b(Rc_R)}{Rc_R}\right) \sqrt{\frac{\pi}{2} \cdot (-\alpha(Rc_R))^2} \right] \quad \text{Equation 9.30}$$

At the beam waist

$$\sigma_{a_norm}(Rc_R) := \left[\frac{(-\alpha(Rc_R))^{\frac{2}{3}} \cdot AA(Rc_R)}{Rc_R} \right]$$

$$J_I(r\sigma, re\sigma) := \exp\left(\frac{-r\sigma^2}{2}\right) \cdot \int_0^{re\sigma} x \cdot \exp\left(\frac{-x^2}{2}\right) \cdot I_0(x \cdot r\sigma) dx \quad \text{Equation 9.29}$$

This integral does not converge if $re\sigma > 25$ because of the growth in the Bessel function I_0 . This could be solved by using a large argument approximation

Plotting data

$r\sigma 1 := 0, 0.1..5$

$r\sigma 2 := 0, 0.1..10$

$r\sigma 3 := 5, 5.1..15$

$xb := 1.68, 1.7..3.4$

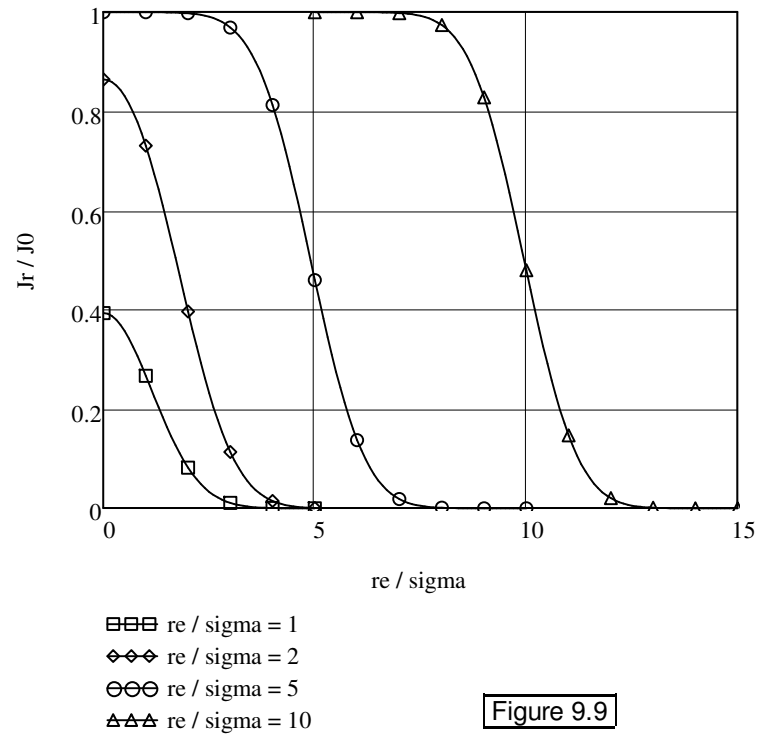


Figure 9.9

$$\frac{\sigma}{R_c \cdot \sqrt{\frac{kT}{2eV_a}}}$$

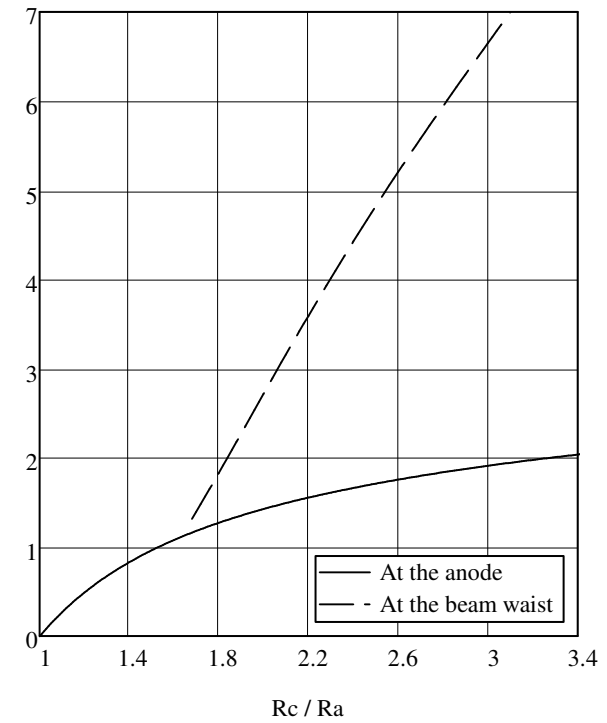


Figure 9.10

Calculate the fraction of current contained within radius r/σ

$$I(rr, re\sigma) := \frac{2}{re\sigma^2} \left(\int_0^{rr\sigma} r\sigma \cdot J_r(r\sigma, re\sigma) dr \right)$$

Find r/σ enclosing *trans*% of the beam current as a function of re/σ

$rr := 1.$

$r\sigma(re\sigma, trans) := \text{root}(I(rr, re\sigma) - trans, rr, 2, 25)$

$xr := 0.1, 0.2.. 10$

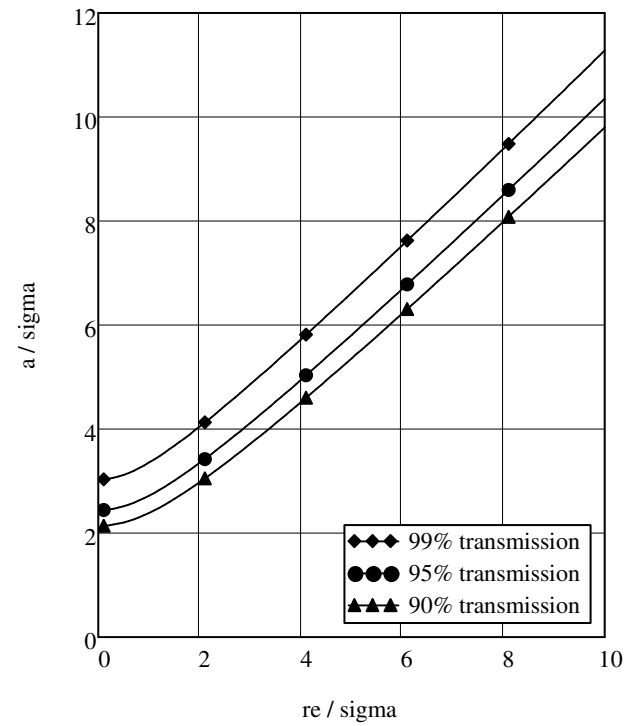


Figure 9.11

Step 8

Find the ratio R_c/R_a for the given values of r_c and b starting with a guessed value of R_c/R

$$Rc_R := 2.2 \quad Rc_Ra := \text{root}\left[\left(rc_b(Rc_R) - \frac{r_c}{b}\right), Rc_R, 1.5, 3.5\right] \quad Rc_Ra = 2.458$$

Check

$$\frac{r_c}{b} = 5.945$$

$$rc_b(Rc_Ra) = 5.945$$

Step 9 Compute the gun parameters from the value of R_c / R_a

Angle of convergence

$$\theta_0 := \theta_0(Rc_Ra)$$

$$\theta_0 = 38.40 \cdot \text{deg}$$

Spherical radius of the cathode

$$R_c := \frac{r_c}{\sin(\theta_0)}$$

$$R_c = 12.92 \cdot \text{mm}$$

Spherical radius of the anode

$$R_a := \frac{R_c}{Rc_Ra}$$

$$R_a = 5.26 \cdot \text{mm}$$

Beam radius at the anode

$$r_a := R_a \cdot \sin(\theta_0)$$

$$r_a = 3.27 \cdot \text{mm}$$

Radius of the anode aperture

$$r_{\text{anode}} := 1.2 \cdot r_a$$

$$r_{\text{anode}} = 0.154 \cdot \text{in}$$

Cathode-anode separation

$$z_{ac} := R_c - R_a \cdot \cos(\theta_0)$$

$$z_{ac} = 8.80 \cdot \text{mm}$$

Beam angle at the exit from the anode

$$\theta_1 := \theta_1(Rc_Ra)$$

$$\theta_1 = 12.62 \cdot \text{deg}$$

Step 10 Find the distance from the anode to the beam waist.

The universal beam spreading curve is defined by

$$\beta_{pz}(r_b) := \int_0^{\sqrt{\ln(r_b)}} 2 \cdot \exp(x^2) dx \quad \text{using the substitution} \quad x = \sqrt{\ln\left(\frac{r}{b}\right)} \quad \boxed{\text{Equation 7.74}}$$

$$z_m := \frac{\beta_{pz}\left(\frac{r_a}{b}\right)}{\beta_p} \quad \beta_{pz}\left(\frac{r_a}{b}\right) = 2.616 \quad z_m = 14.82 \cdot \text{mm}$$

Distance from cathode to the beam waist

$$z_{cm} := R_c - R_a \cdot \cos(\theta_0) + z_m \quad z_{cm} = 23.63 \cdot \text{mm}$$

Plot the electrodes and the electrostatic beam edge

Define a parameter to scan each section of line $x := 0, 0.01..1$

Plot the cathode

$$r1(x) := \frac{R_c}{\text{mm}} \cdot \sin(\theta_0 \cdot x) \quad z1(x) := \frac{R_c}{\text{mm}} \cdot (1 - \cos(\theta_0 \cdot x))$$

Plot the anode

$$r2(x) := \frac{R_a}{\text{mm}} \cdot \sin(\theta_0 \cdot x) \quad z2(x) := \frac{R_c}{\text{mm}} - \frac{R_a}{\text{mm}} \cdot \cos(\theta_0 \cdot x)$$

Plot the initial beam cone

$$r12(x) := \frac{x \cdot R_a}{\text{mm}} \cdot \sin(\theta_0) \quad z12(x) := \frac{R_c}{\text{mm}} - \frac{R_a}{\text{mm}} \cdot x \cdot \cos(\theta_0)$$

Plot the beam edge in two sections (cathode - anode and anode - waist)

$$r3(x) := \frac{r_c}{\text{mm}} - \frac{x \cdot (r_c - r_a)}{\text{mm}}$$

$$z3(x) := \frac{(R_c - R_a)}{\text{mm}} \cdot x \cdot \cos(\theta_0) + \frac{R_c}{\text{mm}} \cdot (1 - \cos(\theta_0))$$

$$r4(x) := \frac{r_a}{\text{mm}} - \frac{x}{\text{mm}} \cdot (r_a - b)$$

$$z4(x) := z3(1) + \left(\frac{\beta_{pz} \left(\frac{r_a}{b} \right)}{\beta_p \cdot \text{mm}} \right) - \frac{\beta_{pz} \left(\frac{r4(x) \cdot \text{mm}}{b} \right)}{\beta_p \cdot \text{mm}}$$

Step 11 Calculate thermal velocity effects

Volt equivalent of cathode temperature

$$V_T := \frac{k \cdot T_k}{q_e}$$

$$V_T = 0.112 \text{ V}$$

At the anode

$$\sigma_a := \sigma_{a_norm}(R_c - R_a) \cdot R_c \cdot \sqrt{\frac{V_T}{2 \cdot V_a}}$$

$$\sigma_a = 0.116 \text{ mm}$$

$$\frac{r_a}{\sigma_a} = 28.202$$

At the beam waist

$$\sigma_b := \sigma_{b_norm}(R_c - R_a) \cdot R_c \cdot \sqrt{\frac{V_T}{2 \cdot V_a}}$$

$$\sigma_b = 0.319 \text{ mm}$$

$$\frac{b}{\sigma_b} = 4.233$$

Radius for 99% transmission

$$rb99 := r\sigma\left(\frac{b}{\sigma_b}, 0.99\right) \cdot \sigma_b$$

$$b = 1.35 \text{ mm}$$

$$rb99 = 1.89 \text{ mm}$$

Beam filling factor

$$\frac{b}{rb99} = 0.71$$

Step 12 Calculate the theoretical shapes of the focus electrode and the anode

Formulae given by Sar-EI, Nucl. Instrum. Meth. Vol.203, pp.21-33 (1982). Note that the parameter R is the inverse of that used by Sar-EI.

Define the constants of Langmuir's series for α , the revised parameter γ and the revised function α_1 .

$$C1 := \begin{array}{|c|c|} \hline & 0 \\ \hline 0 & \dots \\ \hline \end{array}$$

$$\gamma_1(R, \theta) := \ln(R) + j \cdot (\theta - \theta_0) \quad \boxed{\text{Equation 9.14}}$$

$$\alpha_1(R, \theta) := \sum_{n=0}^{10} \left(C1_n \cdot \gamma_1(R, \theta)^n \right)$$

Calculate the electrostatic potential V_e as a function of R and, hence, find the equipotential surface at the potential V_s .

$$V_e(R, \theta) := \operatorname{Re} \left[\left(\alpha_1(R, \theta)^2 \right)^{\frac{2}{3}} \right] \quad \boxed{\text{Equation 9.15}}$$

$$RC(V_s, \theta) := \operatorname{root} \left[(V_e(R, \theta) - V_s), R, 0.001, 1 \right]$$

Plot the shapes of the focus electrode and the anode

Plot the focus electrode ($V_s = 0$)

$$r5(\theta) := \frac{R_c}{\text{mm}} \cdot RC(0, \theta) \cdot \sin(\theta)$$

$$z5(\theta) := \frac{R_c}{\text{mm}} \cdot (1 - RC(0, \theta) \cdot \cos(\theta))$$

Plot the anode nose ($V_s = V_e$)

$$V_s := V_e \left(\frac{1}{R_c R_a}, \theta_0 \right)$$

$$r_6(\theta) := \frac{R_c}{\text{mm}} \cdot RC(V_s, \theta) \cdot \sin(\theta) \quad z_6(\theta) := \frac{R_c}{\text{mm}} \cdot (1 - RC(V_s, \theta) \cdot \cos(\theta))$$

Scan a range of angles to plot the curves

$$\theta := \theta_0, \theta_0 + 0.01 \dots 0.35 \cdot \pi$$

Step 13 Magnetic design

Find the final radius of the flux line which passes through the cathode edge for the chosen ratio of B_0 to B_B and find the value of the coordinate ζ at the cathode edge.

$$\rho_0 := b \cdot \left(1 - \frac{1}{B_0 B_B^2} \right)^{\frac{1}{4}}$$

Equation 9.44

$$f1(\zeta) := 1 - \frac{1}{\pi} \cdot \left(\text{acot}(\zeta) - \frac{\zeta}{1 + \zeta^2} \right)$$

$$\rho(\zeta) := \rho_0 \cdot f1(\zeta)^{-0.5}$$

Equation 9.40

$$\zeta_c := \text{root}[(\rho(\zeta) - r_c), \zeta, -100, 100]$$

$$\zeta_c = -1.852$$

Set the slope of the flux line at the cathode rim equal to the angle of convergence of the beam and find the size of the aperture in the pole-piece.

$$d\rho_{d\zeta}(\zeta) := \left(\frac{d}{d\zeta} \rho(\zeta) \right) \quad d\rho_{d\zeta}(\zeta_c) = -5.308 \cdot \text{mm} \quad \frac{d\rho_{d\zeta}(\zeta_c)}{dz_{d\zeta}(\zeta_c, a0)} = \frac{d\rho}{dz}$$

$$z(\zeta, a) := \zeta \cdot a \quad dz_{d\zeta}(\zeta, a) := \frac{d}{d\zeta} z(\zeta, a)$$

$$a0 := r_c \quad ap := \text{root} \left(\frac{d\rho_{d\zeta}(\zeta_c)}{dz_{d\zeta}(\zeta_c, a0)} + \tan(\theta_0), a0 \right)$$

$$ap = 6.698 \cdot \text{mm}$$

Position of cathode relative to the pole-piece

$$y_c := z(\zeta_c, ap)$$

$$y_c = -12.41 \cdot \text{mm}$$

Plot the flux line through the cathode edge in coordinates referred to the centre of the cathode

$$r7(\zeta) := \frac{\rho(\zeta)}{\text{mm}} \quad z7(\zeta) := \frac{-y_c + z(\zeta, ap)}{\text{mm}} + z1(1)$$

Pole-piece position relative to the cathode centre

$$z_{cp} := z7(0) \cdot \text{mm}$$

$$z_{cp} = 15.20 \cdot \text{mm}$$

Step 14

Solve the differential equation for the beam edge starting from the anode in the presence of the magnetic focusing field. Note that the position of the pole-piece is now defined using $y_c = ap^* \zeta_c$ to ensure that the cathode flux is consistent with the rest of the calculation.

Brillouin field	$B_B := \sqrt{\frac{2}{\gamma_R}} \cdot \frac{\omega_p}{\eta}$	Equation 7.55	$B_B = 0.038 \text{ T}$
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Focusing field	$B_0 := B_{0BB} \cdot B_B$		$B_0 = 0.076 \text{ T}$
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$\omega_L := \frac{B_{0BB}}{\sqrt{2 \cdot \gamma_R}} \cdot \omega_p$	Equation 7.56	$\beta_L := \frac{\omega_L}{u_0}$
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$K_c := 1 - \frac{1}{B_{0BB}^2}$	Equation 7.57	$K_c = 0.75$
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Cathode flux	$\Phi_c := \sqrt{K_c} \cdot \pi \cdot b^2 \cdot B_0$	Equation 7.51	$\Phi_c = 3.76 \times 10^{-7} \text{ Wb}$
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Define the values of β_{pb} , β_{Lb} and of b in millimetres because the Mathcad ODE solver does not accept dimensioned variables. Set up the differential equation and solve it subject to the initial conditions $r = r_a$ and $dr/dz = -\tan(\theta_1)$ at the edge of the anode for axial positions relative to the anode edge (denoted by yy) in the range $0 < yy < yy_{\text{end}}$.

$\beta_{pb} := \beta_p \cdot b$	$\beta_{Lb} := \beta_L \cdot b$	$b_{\text{mm}} := \frac{b}{\text{mm}}$	$ap_{\text{mm}} := \frac{ap}{\text{mm}}$
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$$f2(yy, r) := \left[\frac{\beta_{pb}^2}{2} \cdot \frac{1}{r} + \beta_{Lb}^2 \cdot K_c \cdot \frac{b_{\text{mm}}^2}{r^3} - \frac{\beta_{Lb}^2}{b_{\text{mm}}^2} \cdot f1 \left[\frac{(yy + \zeta_c \cdot ap_{\text{mm}} - z1(1) + z2(1))^2}{ap_{\text{mm}}} \right] \cdot r \right]$$

Given $R2''(yy) = f2(yy, R2(yy))$ $R2(0) = \frac{r_a}{mm}$ $R2'(0) = -\tan(\theta_1)$

$$R2 := \text{Odesolve}(yy, yy_{\text{end}})$$

$$yy := 0, 0.1..yy_{\text{end}}$$

Calculate and plot the magnetic field relative to the cathode centre

$$f3(z) := f1\left[\frac{(z + \zeta_c \cdot \text{apmm} - z1(1))}{\text{apmm}}\right]$$

Field and flux at the beam waist $B_{wB0} := f3\left(\frac{z_m}{mm}\right)$

$$B_{wB0} = 0.464$$

$$\Phi_w := \pi \cdot b^2 \cdot B_{wB0} \cdot B_0$$

The details of the calculation can be concealed to allow the input data and the results to be seen more clearly



Summary of the results

$$\text{Perv} = 1.90 \cdot \mu\text{P}$$

$$A_{\text{conv}} = 35.3$$

$$R_c = 12.92 \cdot \text{mm}$$

$$R_a = 5.26 \cdot \text{mm}$$

$$r_c = 8.026 \cdot \text{mm}$$

$$r_a = 3.265 \cdot \text{mm}$$

$$\theta_0 = 38.40 \cdot \text{deg}$$

$$\theta_1 = 12.62 \cdot \text{deg}$$

$$z_{\text{ac}} = 8.8 \cdot \text{mm}$$

$$z_m = 14.82 \cdot \text{mm}$$

$$r_{\text{anode}} = 3.92 \cdot \text{mm}$$

$$z_{\text{cm}} = 23.63 \cdot \text{mm}$$

$$B_0 = 0.076 \text{ T}$$

$$\Phi_c = 3.76 \times 10^{-7} \text{ Wb}$$

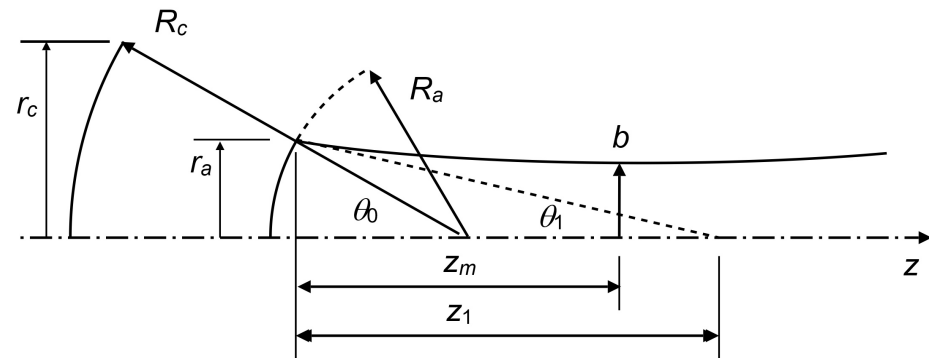
$$a_p = 6.70 \cdot \text{mm}$$

$$z_{\text{cp}} = 15.20 \cdot \text{mm}$$

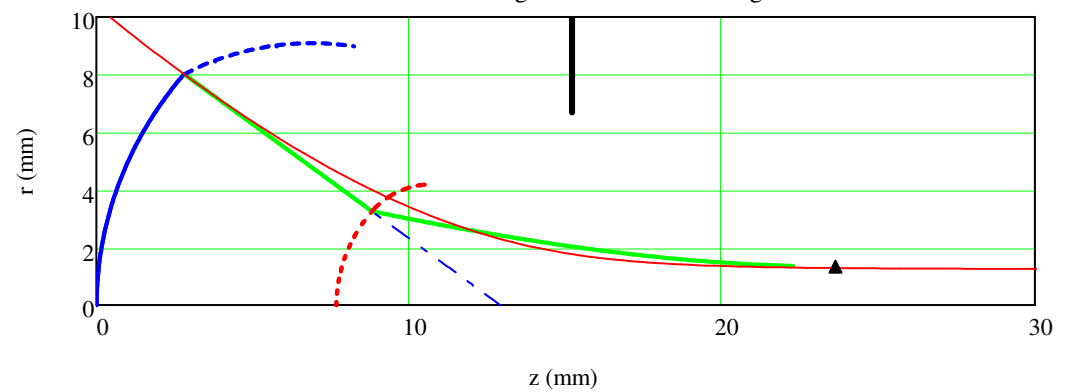
$$rb99 = 1.89 \cdot \text{mm}$$

$$\frac{rb99}{b} = 1.403$$

Note: These results differ slightly from those in Table 9.1 because some small adjustments have been made to the model since those results were calculated.



Pierce electron gun - electrostatic design



- Cathode
- - - Anode (grid)
- Beam edge
- Beam edge
- - - Gun cone
- - - Focus electrode
- - - Anode
- Flux line
- Pole-Piece
- ▲▲▲ Beam waist

