

## WS 13.3 Large Signal Model of a Klystron

© 2018 Richard G Carter

This Mathcad 14 worksheet is designed to accompany the author's book "Microwave and RF Vacuum Electronic Power Sources", Cambridge University Press (2018). The section, equation, and figure numbers refer to the corresponding sections, equations, and figures in the book. Data input fields are highlighted in yellow and output fields are highlighted in green.

This resource is provided free of charge by Cambridge University Press with permission of the author, but is subject to copyright. You are permitted to view, print and download this resource for your own personal use only, provided any copyright lines are not removed or altered in any way. Any other use, including but not limited to, distribution of the resource in modified form, or via electronic or other media, is strictly prohibited unless you have permission from the author and provided you give appropriate acknowledgement of the source.

The contents of this sheet are provided for educational purposes only and no warranty is expressed or implied that they are suitable for use as professional design tools.

### Disk model

The bunch is represented by a set of rigid disks of equal dimensions whose charges are equal. The motion of ND disks of charge is tracked with time as the independent variable using Runge-Kutta integration. The results of the calculation are transferred into the space domain at nmax equally spaced reference planes. Good results are normally obtained with: ND = 24, nmax = 100. The initial position of the bunch should be such that no electrons have entered the field of the first gap. The final time should be great enough to ensure that all the electrons have moved clear of the output gap.

The space-charge calculation is based on the field of a disc of charge in a conducting tunnel found using the quasi-static approximation. This works well for low beam voltages. For high beam voltages the space-charge is reduced by the factor SCF which is imported from WS 13.1. If SCF = 0 the model is run without space-charge. For simplicity the space-charge force is assumed to be periodic in space and the fields acting on the discs are averaged across them. Electrons are treated as positive, the beam current is positive and the r.f. current is positive at the bunch centre.

The gap voltages for the same input power are imported from WS 13.1 to overcome significance errors at low drive levels. Good results are obtained if these voltages are used except for the last two cavities and any cavities tuned to harmonic frequencies.

**Define the parameters of the model - SLAC 50 MW klystron**

Anode voltage	Beam current	Frequency	Input power	Input phase	Tunnel radius	Beam radius
$V_a := 315 \cdot \text{kV}$	$I_0 := 354 \cdot \text{A}$	$f := 2856 \cdot \text{MHz}$	$P_{\text{in}} := 50 \cdot \text{W}$	$\phi_{\text{in}} := 0 \cdot \text{deg}$	$a := 15.9 \cdot \text{mm}$	$b := 11.0 \cdot \text{mm}$
Number of cavities	Small-signal results used up to cavity SCAV		Space-charge factor imported from WS13.1			
$\text{NCAV} := 6$	$\text{SCAV} := 4$		$\text{SCF} := 0.46$			
Number of discs (even)		Number of integration steps	Number of reference planes	Gap field parameter		
$\text{ND} := 24$		$\text{nmax} := 500$	$\text{NP} := 1000$	$\text{kgap} := 4$		
Bunch centre initial position ( $\theta = \beta_e z$ )	Initial time ( $\phi = \omega t$ )	Final position	Final time			
$\theta_0 := -2\pi$	$\phi_0 := \theta_0$	$\theta_f := 18 \cdot \pi$	$\phi_f := 2 \cdot \theta_f$			

**Define the cavity parameters**

NB. The first element of each vector is not used. The cavity count starts from 1. A cavity is unloaded if  $Q_e \geq 95000$ .

Cavity frequency	Cavity harmonic	External Q	Unloaded Q	R/Q	Gap length	Gap position
$\text{fc} := \begin{pmatrix} 0 \\ 2860 \\ 2870 \\ 2890 \\ 2910 \\ 2970 \\ 2853 \end{pmatrix} \cdot \text{MHz}$	$\text{nh} := \begin{pmatrix} 0 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}$	$\text{Qe} := \begin{pmatrix} 0 \\ 200 \\ 95000 \\ 95000 \\ 95000 \\ 95000 \\ 21 \end{pmatrix}$	$\text{Q0} := \begin{pmatrix} 0 \\ 2000 \\ 2000 \\ 2000 \\ 2000 \\ 2000 \\ 2000 \end{pmatrix}$	$\text{R\_Q} := \begin{pmatrix} 0 \\ 80 \\ 75 \\ 87 \\ 96 \\ 96 \\ 85 \end{pmatrix} \cdot \Omega$	$\text{gap} := \begin{pmatrix} 0 \\ 0.0068 \\ 0.0072 \\ 0.0082 \\ 0.011 \\ 0.0116 \\ 0.0162 \end{pmatrix} \cdot \text{m}$	$\text{zg} := \begin{pmatrix} 0 \\ 0 \\ 0.056 \\ 0.111 \\ 0.166 \\ 0.444 \\ 0.555 \end{pmatrix} \cdot \text{m}$

The detailed calculations can be hidden to allow the data and results to be viewed on the screen simultaneously



**Define the charge/mass ratio of the electron.** Note that the primary electric constant and the velocity of light are already defined in Mathcad.

$$\eta := 1.759 \cdot 10^{11} \cdot \frac{\text{C}}{\text{kg}} \quad \epsilon_0 = 8.854 \times 10^{-12} \cdot \frac{\text{F}}{\text{m}} \quad c = 2.998 \times 10^8 \frac{\text{m}}{\text{s}} \quad \mu\text{Perv} := \mu\text{A} \cdot \text{V}^{-1.5} \quad \text{dB} := 1$$

### Calculate tube constants and small-signal parameters

Calculate the beam voltage and velocity allowing for space-charge potential depression and relativity

Equation 7.8

$$V_0 := \begin{cases} V_0 \leftarrow V_a \\ \text{for } n \in 0..3 \\ \quad u_n \leftarrow c \cdot \left[ 1 - \frac{1}{\left( 1 + \frac{\eta \cdot V_n}{c^2} \right)^2} \right]^{0.5} \\ \quad V_{n+1} \leftarrow V_0 - \frac{I_0}{2 \cdot \pi \cdot \epsilon_0 \cdot u_n} \cdot \left( \frac{1}{2} + \ln \left( \frac{a}{b} \right) \right) \\ \text{return } V_{n+1} \end{cases}$$

Equation 1.4

$$u_0 := c \cdot \left[ 1 - \frac{1}{\left( 1 + \frac{\eta \cdot V_0}{c^2} \right)^2} \right]^{0.5} \quad \text{Rel} := \frac{1}{\sqrt{1 - \frac{u_0^2}{c^2}}}$$

$$V_0 = 291.1 \cdot \text{kV}$$

$$u_0 = 2.311 \times 10^8 \cdot \text{m} \cdot \text{s}^{-1}$$

$$\text{Rel} = 1.570$$

$$\frac{I_0}{V_a^{1.5}} = 2.00 \cdot \mu\text{Perv}$$

$$G_0 := \left| \frac{I_0}{V_a} \right| = 1.124 \cdot \frac{1}{\text{k}\Omega}$$

Electronic propagation constant

$$\omega := 2 \cdot \pi \cdot f$$

$$\beta_e := \frac{\omega}{u_0}$$

$$\lambda_e := \frac{2 \cdot \pi}{\beta_e}$$

$$\gamma_e := \sqrt{\beta_e^2 - \frac{\omega^2}{c^2}}$$

$$\gamma_e \cdot b = 0.5$$

$$\gamma_e \cdot a = 0.787$$

**Compute the parameters of the cavities**

$$\beta_{eg} := \beta_e \cdot \text{gap} \quad \theta_g := \beta_e \cdot z_g$$

Shunt conductance

$$G_c := \begin{cases} \text{for } n \in 1..NCAV \\ G_{c_n} \leftarrow (R_{-Q_n} \cdot Q_{0_n})^{-1} \\ \text{return } G_c \end{cases}$$

External conductance

$$G_e := \begin{cases} \text{for } n \in 1..NCAV \\ G_{e_n} \leftarrow (R_{-Q_n} \cdot Q_{e_n})^{-1} \text{ if } Q_{e_n} < 95000 \\ G_{e_n} \leftarrow 0 \text{ otherwise} \\ \text{return } G_e \end{cases}$$

**Equation 3.5**

Cavity admittance at beam harmonic frequency

$$Y_c := \begin{cases} \text{for } n \in 1..NCAV \\ Y_{c_n} \leftarrow G_{c_n} \cdot \left[ 1 + j \cdot Q_{0_n} \cdot \left( \frac{f \cdot n h_n}{f_{c_n}} - \frac{f_{c_n}}{f \cdot n h_n} \right) \right] \\ \text{return } Y_c \end{cases}$$

Axial gap coupling factor

**Equation 11.36 adapted for non-uniform field**

$$\mu_d(\beta, \text{gap}, k) := \begin{cases} \mu_d \leftarrow \frac{k \cdot \left( \beta \cdot \cosh\left(\frac{\text{gap} \cdot k}{2}\right) \cdot \sin\left(\frac{\beta \cdot \text{gap}}{2}\right) + k \cdot \sinh\left(\frac{\text{gap} \cdot k}{2}\right) \cdot \cos\left(\frac{\beta \cdot \text{gap}}{2}\right) \right)}{\beta^2 \cdot \sinh\left(\frac{\text{gap} \cdot k}{2}\right) + k^2 \cdot \sinh\left(\frac{\text{gap} \cdot k}{2}\right)} & \text{if } k > 0 \\ \mu_d \leftarrow \text{sinc}\left(\frac{\beta_e \cdot \text{gap}}{2}\right) & \text{otherwise} \end{cases}$$

Radial coupling factor averaged across the beam

**Equation 11.38**

$$\mu_r(\gamma_e) := \frac{2 \cdot \Pi(\gamma_e \cdot b)}{(\gamma_e \cdot b) \cdot I_0(\gamma_e \cdot a)}$$

Small-signal gap coupling factor

**Equation 11.35**

$$Mg(\beta_e) := \begin{cases} \text{for } n \in 1..NCAV \\ Mg_n \leftarrow \mu_r(n h_n \cdot \beta_e) \cdot \mu_d\left(\beta_e, \text{gap}_n, \frac{k \text{gap}}{\text{gap}_n}\right) \\ \text{return } Mg \end{cases}$$

**The beam** is modelled as ND rigid discs of thickness  $\Delta L$ . The motions of the electrons at the disc centres are followed.

Normalised disk thickness  $\theta_d := \frac{2 \cdot \pi}{ND}$

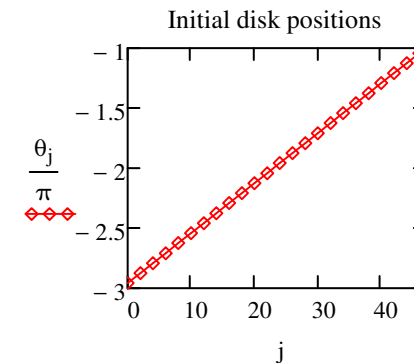
Disk charge  $Q := \frac{2 \cdot \pi I_0}{\omega \cdot ND}$

$Q = 5.165 \times 10^{-9} \text{ C}$

Define normalised initial positions and velocities of the discs  
The initial positions are symmetrical about the bunch centre.

$$\theta := \begin{cases} \text{for } j \in 0, 2 \dots 2 \cdot (ND - 1) \\ \left| \begin{array}{l} \theta_j \leftarrow \theta_0 - \pi + \frac{\pi \cdot (j + 1)}{ND} \\ \theta_{j+1} \leftarrow 1 \end{array} \right. \\ \theta \end{cases}$$

$$j := 0, 2 \dots 2 \cdot ND - 1$$



Check the D.C. beam current calculated from the disks is correct.

$$\sum_{j=0}^{ND-1} (f \cdot Q) = 354.000 \text{ A}$$

$$I_0 = 354.000 \text{ A}$$

**The Space-Charge Field  $ES(\theta)$**  is found from the equations given by J.R. Hechtel, "The effect of potential beam energy on the performance of linear beam devices", *IEEE Transactions on Electron Devices* ED-17, pp.999-1009, Nov. 1970. The potential distribution of a cylinder of charge of radius  $b$ , length  $\Delta L$  and charge density  $\rho$  within a conducting cylinder of radius  $a$  in cylindrical polar coordinates whose origin is at the centre of the cylinder is calculated for a disc charge of 1C

Disc thickness  $\Delta L := \frac{\theta_d}{\beta_e}$

$\Delta L = 3.371 \cdot \text{mm}$

Charge density  $\rho_0 := \frac{1}{\pi \cdot b^2 \cdot \Delta L}$

Compute the space-charge field at  $npts$  points

$npts := 100$

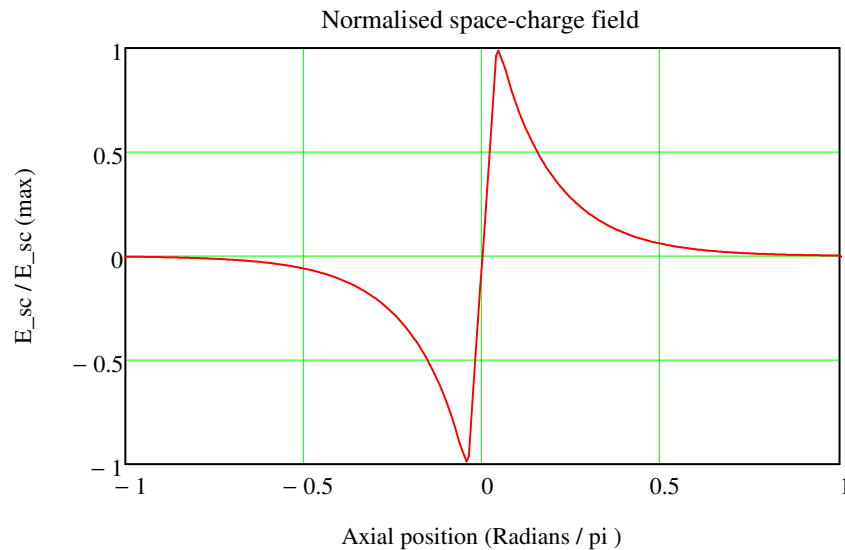
The first ten zeros of  $J_0(z)$ .

$$\mu B := \frac{1}{a} \cdot (2.405 \ 5.520 \ 8.654 \ 11.791 \ 14.931 \ 18.071 \ 21.212 \ 24.352 \ 27.494 \ 30.635)^T$$

$$ES_n := \left| \begin{array}{l} \text{for } n \in 0..npts \\ \theta_n \leftarrow \frac{2 \cdot n \cdot \pi}{npts} \\ z_n \leftarrow \frac{\theta_n}{\beta_e} \\ ES_n \leftarrow \left( \frac{4 \cdot \rho_0}{\epsilon_0} \right) \cdot \sum_{m=0}^9 \left[ \frac{1}{\mu B_m} \cdot \left( \frac{J_1(\mu B_m \cdot b)}{\mu B_m \cdot a \cdot J_1(\mu B_m \cdot a)} \right)^2 \cdot \exp(-\mu B_m \cdot z_n) \cdot \sinh\left(\frac{\mu B_m \cdot \Delta L}{2}\right) \right] \text{ if } \theta_n \geq 0.5 \cdot \theta_d \\ ES_n \leftarrow \left( \frac{4 \cdot \rho_0}{\epsilon_0} \right) \cdot \sum_{m=0}^9 \left[ \frac{1}{\mu B_m} \cdot \left( \frac{J_1(\mu B_m \cdot b)}{\mu B_m \cdot a \cdot J_1(\mu B_m \cdot a)} \right)^2 \cdot \left( \exp\left(-\mu B_m \cdot \frac{\Delta L}{2}\right) \cdot \sinh(\mu B_m \cdot z_n) \right) \right] \text{ otherwise} \end{array} \right| ES$$

Find the space-charge field as a continuous function by linear interpolation

$$\theta_n := \left| \begin{array}{l} \text{for } n \in 0..npts \\ \theta_n \leftarrow \frac{2 \cdot n \cdot \pi}{npts} \\ \theta \end{array} \right| \quad ES(\theta) := \left| \begin{array}{l} ES(\theta) \leftarrow \text{sign}(\theta) \cdot \text{linterp}(\theta_n, ES_n, |\theta|) \\ ES(\theta + 2 \cdot \pi) \text{ if } \theta < -\pi \\ ES(\theta - 2 \cdot \pi) \text{ if } \theta > \pi \\ ES(\theta) \text{ otherwise} \end{array} \right|$$



The space-charge field is set to zero until the electrons reach  $\theta = 0$  to avoid non-physical dispersion of the electrons.

$$\text{SCF1}(\theta) := \begin{cases} \text{SCF} & \text{if } \theta \geq 0 \\ 0 & \text{otherwise} \end{cases}$$

The space-charge field of adjacent bunches is included by assuming that the field is periodic in  $z$ . This is not correct but tests with an initially unmodulated beam and three wavelengths of electrons give almost identical results for the trajectories and the current harmonics except well beyond the first bunch and at microperveance greater than 2.

This space-charge calculation is based on a quasi-static analysis. This is adequate for low beam voltages. For higher beam voltages it is important to make a correction based on the use of  $\gamma$  in place of  $\beta$  when calculating the small-signal plasma frequency reduction factor  $p$ . Since the square of the plasma frequency is proportional to the charge density in the beam the space-charge field should be multiplied by the correction factor

$$\text{SCF} = \left( \frac{p(\gamma)}{p(\beta)} \right)^2$$

This factor is calculated in WS 13.1 and imported. With this correction it is found that the reduced plasma wavelength computed by this sheet is within 2% of that computed by WS 13.1.

A further problem is that the reduced plasma wavelength is too small for low drive levels. It increases with increasing drive level to the correct value and then decreases again as expected. This is believed to be caused by significance errors. To avoid the problem the voltages for the first few gaps are imported from WS 13.1. The results only change slightly when TOL is changed from the default value to 1E-6.

**The Interaction Field** is found from the Fourier Transform of the field in the gap. The average of the field over the beam is used. Linear interpolation on the values calculated at regular intervals is used to provide a fast look-up function.

$$\begin{aligned}
 E_n := & \left| \begin{array}{l} \gamma(\beta) \leftarrow \sqrt{\beta^2 - \frac{\omega^2}{c^2}} \\ \text{for } ng \in 1..NCAV \\ \quad \text{for } n \in 0..npts \\ \quad \quad \theta_n \leftarrow \frac{2 \cdot n \cdot \pi}{npts} \\ \quad \quad E_{n,ng} \leftarrow \frac{V}{\pi} \cdot \int_0^{\frac{20 \cdot \pi \cdot \beta_e}{\beta e_{g_{ng}}}} \frac{2 \cdot I_1(\gamma(\beta) \cdot b)}{(\gamma(\beta) \cdot b) \cdot I_0(\gamma(\beta) \cdot a)} \cdot \mu d \left( \beta, gap_{ng}, \frac{kgap}{gap_{ng}} \right) \cdot \cos \left( \frac{\beta}{\beta_e} \cdot \theta_n \right) d\beta \\ \text{return } E \end{array} \right.
 \end{aligned}$$

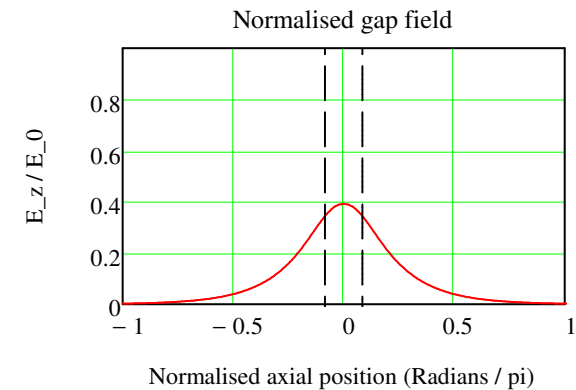
Superimpose the electric fields of the gaps using absolute phase

$$E_z(\theta, \phi) := \text{Re} \left[ \sum_{n=1}^{NCAV} \left( \frac{V_{g_n}}{V} \cdot E_{gap}(\theta - \theta_{g_n})_n \exp(j \cdot n h_n \cdot \phi) \right) \right]$$

$$E_{gap}(\theta) := \left| \begin{array}{l} \text{for } ng \in 1..NCAV \\ \quad E_{ng} \leftarrow \text{interp}(\theta_n, E_n^{ng}, |\theta|) \\ \text{return } E \end{array} \right.$$

Plot the normalised field of gap n

ng := 1





CHECK small-signal coupling factors by direct integration of the field.

$$M_g := \begin{cases} \text{for } n \in 1..NCAV \\ M_n \leftarrow \frac{1}{\beta_e \cdot V} \cdot \int_{-2\pi}^{2\pi} E_{gap}(\theta)_n \cdot \cos(nh_n \cdot \theta) d\theta \\ \text{return } M \end{cases}$$

$$M_g = \begin{pmatrix} 0.000 \\ 0.879 \\ 0.877 \\ 0.873 \\ 0.856 \\ 0.852 \\ 0.813 \end{pmatrix}$$

$$M_g(\beta_e) = \begin{pmatrix} 0.000 \\ 0.759 \\ 0.757 \\ 0.753 \\ 0.739 \\ 0.735 \\ 0.702 \end{pmatrix}$$

**The Coefficients of the Differential Equations** for the motions of the electrons are defined.

The rows represent, in order, the position in radians and the normalised velocity of the electrons.

$$D(\phi, \theta) := \begin{cases} \text{for } j \in 0, 2..2 \cdot (ND - 1) \\ D_j \leftarrow \theta_{j+1} \\ D_{j+1} \leftarrow \frac{\eta}{\omega \cdot u_0} \cdot \left[ 1 - \left( \frac{u_0}{c} \cdot \theta_{j+1} \right)^2 \right]^{1.5} \cdot \left[ E_z(\theta_j, \phi) + SCF1(\theta_j) \cdot Q \cdot \sum_{i=0}^{ND-1} (ES(\theta_j - \theta_{2 \cdot i})) \right] \\ D \end{cases}$$

Definitions of normalised variables

$$\phi = \omega \cdot t \quad \theta = \beta_e \cdot z \quad \theta' = \frac{v}{u_0}$$

$$\frac{d}{dt} z = v \quad \frac{d}{d\phi} \theta = \frac{v}{u_0}$$

$$\frac{d}{dt} v = -\eta \cdot E \quad \frac{d}{d\phi} \frac{v}{u_0} = -\frac{\eta \cdot E}{\omega \cdot u_0}$$

**The Equations are Solved** using with  $nmax$  time steps starting from  $\phi_0$  which is defined in such a way that the centre electron would cross the gap centre at  $t = 0$  if it travelled with a constant velocity  $u_0$ . The final time is  $\phi_f$ .

$$Z := rkfixed(\theta, \phi_0, \phi_f, nmax, D)$$

The results are in a single table (Z) in which the first column (0) is the time and the other columns (1-12) are the positions and velocities of the electrons in the same order as before at each value of n.

Extract the vector of phases, the matrices containing the normalised positions and velocities of the disks and the vector of the final velocities of the electrons

$$\begin{aligned} \phi_n &:= \begin{cases} \text{for } n \in 0..n_{\max} \\ \phi_n \leftarrow Z_{n,0} \\ \phi \end{cases} & \theta_n &:= \begin{cases} \text{for } j \in 0..(ND-1) \\ \text{for } n \in 0..n_{\max} \\ \theta_{n,j} \leftarrow Z_{n,2 \cdot j+1} \\ \theta \end{cases} & u_n &:= \begin{cases} \text{for } j \in 0..(ND-1) \\ \text{for } n \in 0..n_{\max} \\ u_{n,j} \leftarrow Z_{n,2 \cdot j+2} \\ u \end{cases} & u_{\max} &:= \begin{cases} \text{for } j \in 0..(ND-1) \\ u_j \leftarrow Z_{n_{\max},2 \cdot j+2} \\ u \end{cases} \end{aligned}$$

Check for reflected electrons    MESSAGE :=

$$\begin{cases} M \leftarrow \text{"No reflected electrons"} \\ \text{for } j \in 0..(ND-1) \\ \quad \text{if } u_{n_{\max},j} \leq 0 \\ \quad \quad M \leftarrow \text{"REFLECTED ELECTRONS"} \\ \quad \quad \text{break} \\ \text{return } M \end{cases}$$

**The Kinetic Energy of the bunch** at each time step is calculated using the relativistically correct formulae by summing the energies of the disks. The figure becomes unstable when significant cross-overs occur. It is essential to ensure that the final time is great enough for all electrons to have left the interaction region.

$$\begin{aligned} KE &:= \begin{cases} \text{for } n \in 0..n_{\max} \\ KE_n \leftarrow \frac{Q \cdot c^2}{\eta} \cdot \sum_{j=0}^{ND-1} \left[ \frac{1}{\sqrt{1 - \frac{(u_{n,j} \cdot u_0)^2}{c^2}}} - 1 \right] \\ KE \end{cases} \end{aligned}$$

$$P_{DC} := V_a \cdot I_0$$

$$P_{DC} = 111.5 \text{ MW}$$

Check that the frequency times the initial KE is equal to the DC beam power allowing for space-charge potential depression.

$$V_0 \cdot I_0 = 103.0 \text{ MW}$$

$$KE_0 \cdot f = 103.0 \text{ MW}$$

**Define a set of equally-spaced reference planes** in  $\theta$  and compute the phases and velocities at which the electrons cross them using linear interpolation. Also find the times relative to an electron travelling at constant velocity  $u_0$ .

Plane positions

```

 $\theta_p :=$ 
   $\Delta\theta \leftarrow \frac{\theta_f - \theta_0}{NP}$ 
  for  $p \in 0..NP$ 
     $\theta_p \leftarrow p \cdot \Delta\theta + \theta_0$ 
  return  $\theta$ 

```

$$\Delta\theta := \frac{\theta_f - \theta_0}{NP}$$

Absolute phase

```

 $\phi_p :=$ 
  for  $j \in 0..(ND - 1)$ 
    for  $p \in 0..NP$ 
      for  $n \in 1..nmax$ 
        flag  $\leftarrow 0$ 
        flag  $\leftarrow 1$  if  $\theta_{n,j} > \theta_p$ 
         $\phi_{p,j} \leftarrow \phi_{n-1} + \frac{\theta_p - \theta_{n-1,j}}{\theta_{n,j} - \theta_{n-1,j}} \cdot (\phi_n - \phi_{n-1})$  if flag = 1
        (break) if flag = 1
      return  $\phi_p$ 

```

Relative phase

```

 $\phi_r :=$ 
  for  $j \in 0..ND - 1$ 
    for  $p \in 0..NP$ 
       $\phi_{r,p,j} \leftarrow \phi_{p,j} - \theta_p$ 
    return  $\phi_r$ 

```

Normalised velocities ( $u / u_0$ ) of the electrons at the reference planes

```

up :=
  for  $j \in 0..(ND - 1)$ 
    for  $p \in 0..NP$ 
      for  $n \in 1..nmax$ 
        flag  $\leftarrow 0$ 
        flag  $\leftarrow 1$  if  $\theta_{n,j} > \theta_p$ 
         $up_{p,j} \leftarrow un_{n-1,j} + \frac{\theta_p - \theta_{n-1,j}}{\theta_{n,j} - \theta_{n-1,j}} \cdot (un_{n,j} - un_{n-1,j})$  if flag = 1
        (break) if flag = 1
      return up

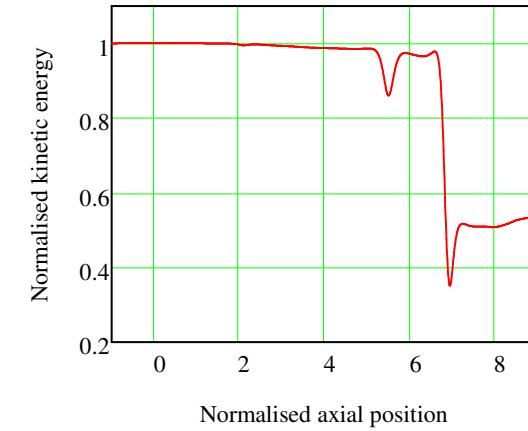
```

Sum of the kinetic energies of the electrons at each plane.

```

KEp :=
  for  $p \in 0..NP$ 
     $KE_p \leftarrow \frac{Q \cdot c^2}{\eta} \cdot \sum_{j=0}^{ND-1} \left[ \frac{1}{\sqrt{1 - \frac{(up_{p,j} \cdot u_0)^2}{c^2}}} - 1 \right]$ 
  KE

```



Calculate the complex current harmonics at each plane by superimposing the Fourier components of the discs.

```

Ip := | for p ∈ 0..NP
      |   Ipp,0 ←  $\frac{Q}{\Delta L} \cdot \frac{1}{2 \cdot \pi} \cdot \sum_{j=0}^{ND-1} \theta_d$ 
      |   for j ∈ 0..ND - 1
      |     upp,j ← 10-6 if upp,j = 0
      |     for h ∈ 1..0.5·ND
      |       Ipp,h ←  $\frac{Q}{\Delta L} \cdot \frac{2}{\pi \cdot h} \cdot \sum_{j=0}^{ND-1} \left( up_{p,j} \cdot \sin\left(\frac{h \cdot \theta_d}{2 \cdot up_{p,j}}\right) \cdot \exp(-j \cdot h \cdot \phi_{p,j}) \right)$ 
      |   return Ip · u0

```

$$\text{Instantaneous current} = \frac{Q \cdot up_{p,j}}{\Delta L}$$

$$\text{Pulse duration} = \frac{\theta_d}{up_{p,j}}$$

Find the variation of current with time at plane  $p$  by Fourier synthesis

$$IP(p, \phi) := \text{Re} \left[ \sum_{n=0}^{0.5 \cdot ND} \left( Ip_{p,n} \cdot \exp(j \cdot n \cdot \phi) \right) \right]$$

Fundamental RF current and its relative phase at the reference planes

$$Ip1 := Ip^{(1)}$$

$$\text{argrI1} := \begin{cases} \text{for } p \in 0..NP \\ \text{argrI1}_p \leftarrow \arg(Ip1_p \cdot \exp(j \cdot \theta_p)) \\ \text{return argrI1} \end{cases}$$

Function for plotting the positions of the cavities

$$\phi g(\theta) := \begin{cases} \text{for } n \in 1..NCAV \\ \phi g \leftarrow 2 \text{ if } \left| \theta - \theta_{g_n} \right| \leq \frac{\beta e g_n}{2} \\ \text{return } 2\phi g - 2 \end{cases}$$

### Calculation of the induced current in each cavity

Find the serial number of the plane at the centre of each cavity and plane numbers at the edges of the gap field. The gap field is assumed to be zero at 10g from the gap centre. Note:  $\theta_{c2}$  for the last cavity must be less than  $\theta_f$ .

$$pc := \text{round}\left(\frac{\theta_g - \theta_0}{\Delta\theta}\right)$$

$$pc1 := \text{round}\left(\frac{\theta_g - \theta_0 - 10 \cdot \beta e g}{\Delta\theta}\right)$$

$$pc2 := \text{round}\left(\frac{\theta_g - \theta_0 + 10 \cdot \beta e g}{\Delta\theta}\right)$$

Check that field of a cavity is zero at planes pc1 and pc2

**Cavity number**

$$cn := 2$$

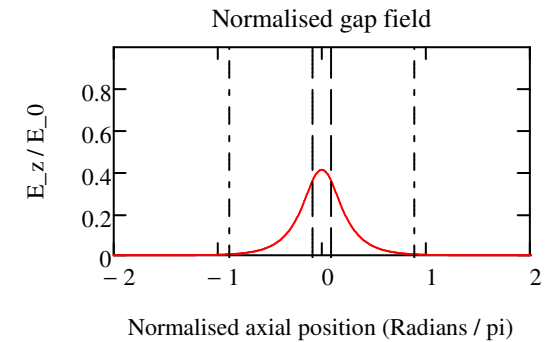
$$pc1_{cn} = 80$$

$$pc_{cn} = 169$$

$$pc2_{cn} = 258.00$$

$$\theta_{c1} := (pc1_{cn} - pc_{cn}) \cdot \Delta\theta$$

$$\theta_{c2} := (pc2_{cn} - pc_{cn}) \cdot \Delta\theta$$



Induced current in each cavity

$$\text{lind} := \begin{cases} \text{for } n \in 2..NCAV \\ \text{lind}_n \leftarrow \frac{-\Delta\theta}{\beta_e \cdot V} \cdot \sum_{p=pc1_n}^{pc2_n} \left( I_{p, nh_n} \cdot E_{gap}(\theta_{p_p} - \theta_{g_n})_n \right) \\ \text{return lind} \end{cases}$$

Equation 11.173

The integral is approximated by a sum

Revised gap voltage in each cavity

$$\text{Vgc} := \begin{cases} \text{for } n \in 1..SCAV \\ \text{Vgc}_n \leftarrow 0.5(V_{gss_n} + V_{g_n}) \\ \text{for } n \in SCAV + 1..NCAV \\ \text{Vgc}_n \leftarrow 0.5 \left[ \frac{\text{lind}_n}{(Y_{c_n} + G_{e_n})} + V_{g_n} \right] \\ \text{return Vgc} \end{cases}$$

$$\text{ModVg} := \begin{cases} \text{for } n \in 1..NCAV \\ \text{MVg}_n \leftarrow |V_{gc_n}| \\ \text{return MVg} \end{cases}$$

$$\text{ArgVg} := \begin{cases} \text{for } n \in 1..NCAV \\ \text{AVg}_n \leftarrow \arg(V_{gc_n} \cdot \exp(j \cdot \theta_{g_n})) + \pi \\ \text{return AVg} \end{cases}$$

Weighted gap voltages to be used for the next iteration

$$\text{Vg1} := (1 - \text{weight}) \cdot \text{Vg} + \text{weight} \cdot \text{Vgc}$$

RF output power from the change in KE

$$P_{KE} := (KE_0 - KE_{nmax}) \cdot f$$

RF output power from the output gap voltage

$$P_{out} := \frac{1}{2} \cdot (\text{ModVg}_{NCAV})^2 \cdot G_{e_{NCAV}}$$

Gain

$$\text{Gain} := 10 \cdot \log \left( \frac{P_{out}}{P_{in}} \right)$$

Efficiency

$$\text{Efficiency} := \frac{P_{out}}{P_{DC}}$$

Relative change in cavity voltages

$$\text{Err} := \begin{cases} \text{for } n \in 2..NCAV \\ \text{Err}_n \leftarrow \frac{|V_{g_n} - V_{gc_n}|}{|V_{gc_n}|} \\ \text{return Err} \end{cases}$$

Power dissipated in each cavity

$$\text{Pc} := \begin{cases} \text{for } n \in 1..NCAV \\ \text{Pc}_n \leftarrow \frac{1}{2} \cdot (|V_{g_n}|)^2 \cdot G_{c_n} \\ \text{return Pc} \end{cases}$$

Energy balance

$$\text{Energy\_balance} := \frac{P_{out} + KE_{nmax} \cdot f + \sum_{n=1}^{NCAV} \text{Pc}_n}{I_0 \cdot V_0 + P_{in}} - 1$$

**Results**

The results of the calculation are in the green cells below. On the first pass the voltages in the yellow matrix are set to zero. After each pass the figures from the green matrix are copied into the yellow matrix. The process is repeated for successive passes until the difference in the vector voltages measured by the 'Error' is considered to be small enough. The process is repeated until it converges. Note: the first element of each matrix is not used. The gap voltages  $V_{g1}$  are the weighted mean of initial and the revised gap voltages. Normally weight = 1 but other values (e.g. 0.5) sometimes lead to more rapid convergence of the results.

MESSAGE = "No reflected electrons "

weight  $\equiv$  1

Gap voltages imported from WS13.1

$$V_g \equiv \begin{pmatrix} 0.000 \\ 0.837 \\ 3.376 + 3.583i \\ -13.925 + 13.735i \\ -42.167 - 53.087i \\ 129.825 + 237.557i \\ -410.708 - 58.236i \end{pmatrix} \text{ kV}$$

$$V_{g1} = \begin{pmatrix} 0.000 \\ 0.837 \\ 3.376 + 3.583i \\ -13.925 + 13.735i \\ -42.167 - 53.087i \\ 129.830 + 237.513i \\ -410.636 - 58.074i \end{pmatrix} \text{ kV}$$

$$\text{Err} = \begin{pmatrix} 0.0 \\ 0.0 \\ 0.0 \\ 0.0 \\ 0.0 \\ 0.0 \\ 0.0 \end{pmatrix} \cdot \%$$

$$P_c = \begin{pmatrix} 0.000 \\ 0.002 \\ 0.081 \\ 1.099 \\ 11.969 \\ 190.854 \\ 506.096 \end{pmatrix} \text{ kW}$$

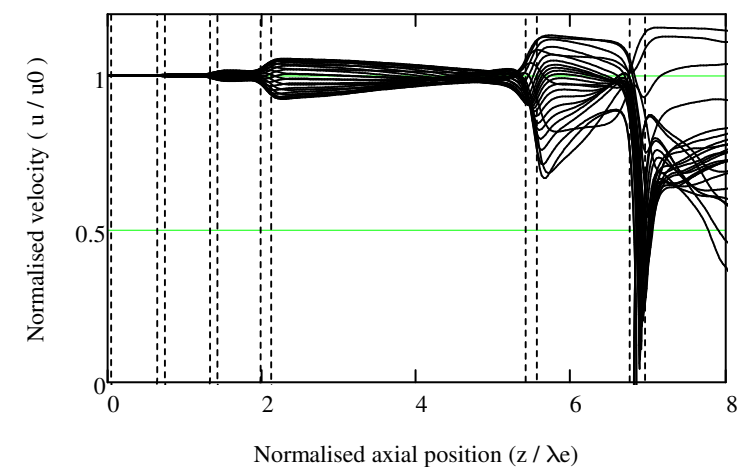
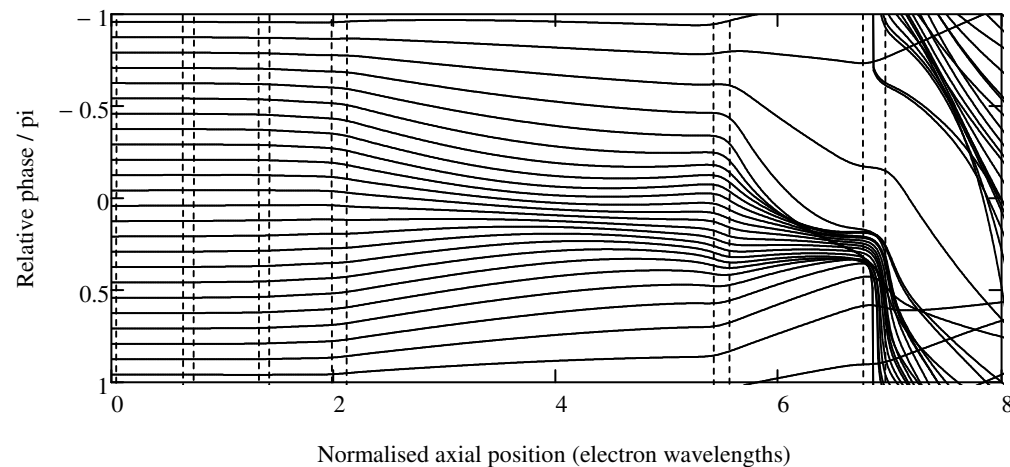
$$V_{gss} \equiv \begin{pmatrix} 0.000 \\ 0.837 \\ 3.376 + 3.583i \\ -13.925 + 13.735i \\ -42.167 - 53.087i \\ 101.505 + 311.629i \\ -999.741 - 402.708i \end{pmatrix} \text{ kV}$$

 $P_{\text{out}} = 48.18 \text{ MW}$  $P_{\text{KE}} = 47.19 \text{ MW}$ 

Gain = 59.8 dB

Efficiency = 43.2%

Energy\_balance = 1.6%



p1 := 0..NP

