

# EECS 117

## *Lecture 26: TE and TM Waves*

Prof. Niknejad

University of California, Berkeley

# TE Waves

- TE means that  $e_z = 0$  but  $h_z \neq 0$ . If  $k_c \neq 0$ , we can use our solutions directly

$$H_x = \frac{-j\beta}{k_c^2} \frac{\partial h_z}{\partial x}$$

$$H_y = \frac{-j\beta}{k_c^2} \frac{\partial h_z}{\partial y}$$

$$E_x = \frac{-j\omega\mu}{k_c^2} \frac{\partial h_z}{\partial y}$$

$$E_y = \frac{-j\omega\mu}{k_c^2} \frac{\partial h_z}{\partial x}$$

- Since  $k_c \neq 0$ , we find  $h_z$  from the Helmholtz's Eq.

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} + k^2 \right) H_z = 0$$

# TE Wave Helmholtz Eq.

- Since  $H_z = h_z(x, y)e^{-j\beta z}$

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \underbrace{-\beta^2 + k^2}_{k_c^2} \right) h_z = 0$$

- Solving the above equation is sufficient to find all the fields.
- We can also define a wave impedance to simplify the computation

$$Z_{TE} = \frac{E_x}{H_y} = \frac{-E_y}{H_x} = \frac{\omega\mu}{\beta}$$

# Wave Cutoff Frequency

- Since  $\beta = \sqrt{k^2 - k_c^2}$ , we see that the impedance is not constant as a function of frequency.
- In fact, for wave propagation we require  $\beta$  to be real, or  $k > k_c$

$$\omega \sqrt{\mu\epsilon} > k_c$$

$$\omega > \frac{k_c}{\sqrt{\mu\epsilon}} = \omega_c$$

- For wave propagation, the frequency  $\omega$  must be larger than the cutoff frequency  $\omega_c$
- Thus the waveguide acts like a high-pass filter

# TM Waves

- Now the situation is the dual of the TE case,  $e_z \neq 0$  but  $h_z = 0$
- Our equations simplify down to

$$H_x = \frac{j\omega\epsilon}{k_c^2} \frac{\partial e_z}{\partial y}$$

$$H_y = \frac{-j\omega\epsilon}{k_c^2} \frac{\partial e_z}{\partial x}$$

$$E_x = \frac{-j\beta}{k_c^2} \frac{\partial e_z}{\partial x}$$

$$E_y = \frac{-j\beta}{k_c^2} \frac{\partial e_z}{\partial y}$$

- And for  $k_c \neq 0$ , our reduced Helmholtz's Eq. for  $E_z$

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + k_c^2 \right) e_z = 0$$

# TM Wave Impedance

- With  $e_z$  known, all the fields can be derived from the above equations
- The wave impedance is given by

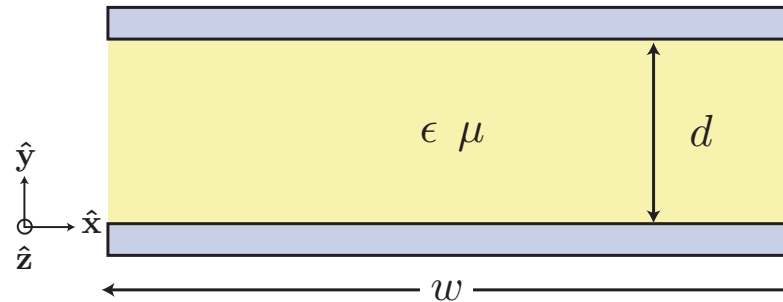
$$Z_{TM} = \frac{E_x}{H_y} = \frac{-E_y}{H_x} = \frac{\beta}{\omega\epsilon}$$

- Since  $\beta = \sqrt{k^2 - k_c^2}$ , we see that the impedance is not constant as a function of frequency.
- The same high-pass cutoff behavior is also seen with the TM wave

# TE/TM Wave General Solution

1. Solve the reduced Helmholtz eq. for  $e_z$  or  $h_z$
2. Compute the transverse fields
3. Apply the boundary conditions to find  $k_c$  and any unknown constants
4. Compute  $\beta = \sqrt{k^2 - k_c^2}$ , so that  $\gamma = j\beta$  and  $Z_{TM} = \frac{\beta}{\omega\epsilon}$

# Parallel Plate Waveguide



- Consider a simple parallel plate waveguide structure
- Let's begin by finding the properties of a TEM mode of propagation
- Last lecture we found that the TEM wave has an electrostatic solution in the transverse plane. We can thus solve this problem by solving Laplace's eq. in the region  $0 \leq y \leq d$  and  $0 \leq x \leq w$

$$\nabla^2 \Phi = 0$$



# Voltage Potential of TEM Mode

- The waveguide structure imposes the boundary conditions on the surface of the conductors

$$\Phi(x, 0) = 0$$

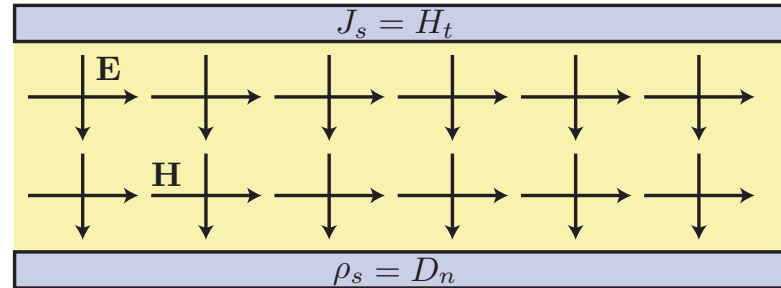
$$\Phi(x, d) = V_0$$

- Neglecting fringing fields for simplicity, we have

$$\Phi(x, y) = Ay + B$$

- The first boundary condition requires that  $B \equiv 0$  and the second one can be used to solve for  $A = V_0/d$ .

# Transverse Fields of TEM Mode



- The electric field is now computed from the potential

$$\mathbf{e}(x, y) = -\nabla_t \Phi = -\left( \frac{\partial \Phi}{\partial x} \hat{\mathbf{x}} + \frac{\partial \Phi}{\partial y} \hat{\mathbf{y}} \right) = -\hat{\mathbf{y}} \frac{V_0}{d}$$

$$\mathbf{E} = \mathbf{e}(x, y) e^{-j\beta z} = -\hat{\mathbf{y}} \frac{V_0}{d} e^{-jkz}$$

$$\mathbf{H} = \frac{\hat{\mathbf{z}} \times \mathbf{E}}{Z_{TEM}} = \hat{\mathbf{x}} \frac{V_0}{d\eta} e^{-jkz}$$

# Guide Voltages and Currents

- The  $E$  and  $H$  fields are shown above. Notice that the fields diverge on charge

$$\rho_n = \hat{\mathbf{n}} \cdot \mathbf{D} = \epsilon \frac{V_0}{d} e^{-jkz}$$

- This charge is traveling at the speed of light and giving rise to a current

$$I = \rho_n w c = w \frac{1}{\sqrt{\epsilon \mu}} \epsilon \frac{V_0}{\eta d} e^{-jkz} = \frac{w V_0}{\eta d} e^{-jkz}$$

# Guide Currents

- We should also be able to find the guide current from Ampère's law

$$I = \oint_{C_b} \mathbf{H} \cdot d\boldsymbol{\ell} = w H_x = \frac{w V_0}{\eta d} e^{-jkz}$$

- This matches our previous calculation. A third way to calculate the current is to observe that  $J_s = H_t$

$$I = \int_0^w \mathbf{J}_s \cdot \hat{\mathbf{z}} dx = \frac{w V_0}{\eta d} e^{-jkz}$$

- The line characteristic impedance is the ratio of voltage to current

$$Z_0 = \frac{V}{I} = V_0 \frac{\eta d}{w V_0} = \eta \frac{d}{w}$$

# Guide Impedance and Phase Velocity

- The guide impedance is thus only a function of the geometry of the guide. Likewise, the phase velocity

$$v_p = \frac{\omega}{\beta} = \frac{\omega}{k} = \frac{1}{\sqrt{\mu\epsilon}}$$

- The phase velocity is constant and independent of the geometry.

# TM Mode of Parallel Plate Guide

- For TM modes, recall that  $h_z = 0$  but  $e_z \neq 0$
- We begin by solving the reduced Helmholtz Eq. for  $e_z$

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + k_c^2 \right) e_z(x, y) = 0$$

- where  $k_c^2 = k^2 - \beta^2$ . As before, we take  $\frac{\partial}{\partial x} = 0$  for simplicity

$$\left( \frac{\partial^2}{\partial y^2} + k_c^2 \right) e_z(x, y) = 0$$

- The general solution of this simple equation is

$$e_z(x, y) = A \sin k_c y + B \cos k_c y$$

# TM Mode Boundary Conditions

- Even though  $e_z \neq 0$  inside the guide, at the boundary of the conductors, the tangential field, and hence  $e_z$  must be zero.
- This implies that  $B = 0$  in the general solution. Also, applying the boundary condition at  $y = d$

$$e_z(x, y = d) = 0 = A \sin k_c d$$

- This is only true in general if  $k_c = 0$ . But we have already seen that this corresponds to a TEM wave. We are now interested in TM waves so the argument of the sine term must be a multiple of  $n\pi$  for  $n = 1, 2, 3, \dots$

$$k_c d = n\pi \rightarrow k_c = \frac{n\pi}{d}$$

# Axial Fields in Guide

- The propagation constant is thus related to the geometry of the guide (unlike the TEM case)

$$\beta = \sqrt{k^2 - k_c^2} = \sqrt{k^2 - \left(\frac{n\pi}{d}\right)^2}$$

- The axial fields are thus completely specified

$$e_z(x, y) = A_n \sin\left(\frac{n\pi y}{d}\right)$$

$$E_z(x, y, z) = A_n \sin\left(\frac{n\pi y}{d}\right) e^{-j\beta z}$$



# Transverse TM Fields

- All the other fields are a function of  $E_z$

$$H_x = \frac{-j\omega\epsilon}{k_c^2} \frac{\partial E_z}{\partial y}$$

$$E_x = \frac{-j\beta}{k_c^2} \frac{\partial E_z}{\partial x}$$

$$H_y = \frac{-j\omega\epsilon}{k_c^2} \frac{\partial E_z}{\partial x}$$

$$E_y = \frac{-j\beta}{k_c^2} \frac{\partial E_z}{\partial y}$$

- So that  $H_y = E_x = 0$  by inspection. The other components are

$$H_x = \frac{j\omega\epsilon}{k_c} A_n \cos\left(\frac{n\pi y}{d}\right) e^{-j\beta z}$$

$$E_y = \frac{-j\beta}{k_c} A_n \cos\left(\frac{n\pi y}{d}\right) e^{-j\beta z}$$

# Cutoff Frequency

- As we have already noted, for wave propagation  $\beta$  must be real. Since  $\beta = \sqrt{k^2 - k_c^2}$ , we require

$$k > k_c$$

$$\omega > \frac{k_c}{\sqrt{\mu\epsilon}} = \omega_c$$

$$\omega\sqrt{\epsilon\mu} > k_c$$

$$\omega_c = \frac{n\pi}{d\sqrt{\mu\epsilon}}$$

- The guide acts like a high-pass filter for TM modes where the lowest propagation frequency for a particular mode  $n$  is given by

$$f_c = \frac{n}{2d\sqrt{\mu\epsilon}} = \frac{nc}{2d} = \frac{n}{\lambda_g}$$

# TM Mode Velocity and Impedance

- The TM mode wave impedance is given by

$$Z_{TM} = \frac{-E_y}{H_x} = \frac{\beta}{\omega\epsilon} = \frac{\beta\sqrt{\mu\epsilon}}{\omega\epsilon\sqrt{\mu\epsilon}} = \beta\sqrt{\mu\epsilon}k\epsilon = \frac{\beta\eta}{k}$$

- This is a purely real number for propagation modes  $f > f_c$  and a purely imaginary impedance for cutoff modes
- The phase velocity is given by

$$v_p = \frac{\omega}{\beta} = \frac{\omega}{k\sqrt{1 - \left(\frac{k_c}{k}\right)^2}} = \frac{c}{\sqrt{1 - \left(\frac{k_c}{k}\right)^2}} > c$$

- The phase velocity is faster than the speed of light!  
Does that bother you?

# Phase Velocity

- It's important to remember that the phase velocity is a relationship between the spatial and time components of a wave in *steady-state*. It does not represent the wave evolution!
- Thus it's quite possible for the phase to advance faster than the time lag of “light” as long as this phase lag is a result of a steady-state process (you must wait an infinite amount of time!)
- The rate at which the wave evolves is given by the group velocity

$$v_g = \left( \frac{d\beta}{d\omega} \right)^{-1} \leq c$$

# Power Flow

- Let's compute the average power flow along the guide for a TM mode. This is equal to the real part of the complex Poynting vector integrated over the guide

$$P_0 = \frac{1}{2} \Re \int_0^w \int_0^d \mathbf{E} \times \mathbf{H}^* \cdot \hat{\mathbf{z}} dy dx$$

$$\begin{aligned} \hat{\mathbf{z}} \cdot \mathbf{E} \times \mathbf{H}^* &= E_y H_x^* = \frac{-j\omega\epsilon}{k_c} \left( A_n \cos \frac{n\pi y}{d} \right)^2 \frac{-j\beta}{k_c} \\ &= \frac{\omega\epsilon\beta}{k_c^2} A_n^2 \cos^2 \frac{n\pi y}{d} \end{aligned}$$

# Power Flow (cont)

- Integrating the  $\cos^2$  term produces a factor of  $1/2$

$$P_0 = \frac{1}{4} \frac{w\omega\epsilon d}{k_c^2} |A_n|^2 \Re(\beta)$$

- Therefore, as expected, if  $f > f_c$ , the power flow is non-zero but for cutoff modes,  $f < f_c$ , the average power flow is zero